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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/816,005	03/23/2001	Alastair J. Angwin	RSW920000160US1	5189
7590	08/23/2004			
A. Bruce Clay IBM Corporation T81/503 PO Box 12195 Research Triangle Park, NC 27709			EXAMINER MANIWANG, JOSEPH R	
			ART UNIT 2144	PAPER NUMBER

DATE MAILED: 08/23/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/816,005

Applicant(s)

ANGWIN ET AL.

Examiner

Joseph R Maniwang

Art Unit

2144

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 23 March 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 March 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |                                                                                                                                               |                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                                                   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                          | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>03/23/01</u> . | 6) <input type="checkbox"/> Other: _____                                                |

## DETAILED ACTION

### *Information Disclosure Statement*

1. The information disclosure statements (IDS) submitted on 03/23/01 were in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement was considered by the Examiner.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1 -21 are rejected under 35 U.S.C. 102(e) as being anticipated by Douvikas et al. (U.S. Pat. No. 6,633,311), hereinafter referred to as Douvikas.
4. Regarding claims 1, 10, and 19, Douvikas disclosed a method and system for managing and exporting contact information over the Web. Douvikas disclosed receiving a credential for the recipient of the personal data. Based on this credential associated with a given recipient, the system then dynamically generated personal data for delivery to the recipient (see column 11, lines 29-34).

5. Regarding claims 2 and 11, Douvikas disclosed the use of a credential for specifying which Members of the personal information service could receive certain personal data (see column 11, lines 26-34). Douvikas thus disclosed the claimed limitations, as a Member was defined by and comprised an e-mail address (see column 6, lines 36-41).
6. Regarding claims 3, 4, 12, 13, 20, and 21, Douvikas disclosed storing personal data objects in a database which could be invoked by a user over the Internet (see column 2, lines 22-27). Douvikas further disclosed the use of Java for building and providing servlets for the content databases (see column 4, lines 39-48).
7. Regarding claims 5 and 14, the personal data provided by the invention of Douvikas was in the form of an electronic business card (see column 3, lines 56-67).
8. Regarding claims 6 and 15, Douvikas disclosed delivering the personal data to the recipient by attaching the data to an e-mail message (see column 8, lines 7-24; column 10, lines 46-51).
9. Regarding claims 7-9 and 16-18, Douvikas disclosed using the invention in a client computer, PDA, phone, or pager (see column 2, lines 32-43), and on a server as claimed (see column 4, lines 1-22).

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

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Shaw et al. (U.S. Pat. No. 6,247,045) disclosed a method and system for sending recipient-based private messages in an e-mail.

Zoken (U.S. Pat. No. 5,944,787) disclosed a method for locating postal addresses based on an e-mail address.

Gilbert (U.S. Pat. No. 6,529,942) disclosed a system for providing recipient-based e-mail formats.

Kohler (U.S. Pat. No. 6,192,396) disclosed an e-mail system for delivering recipient-specific content.

Shiraishi et al. (U.S. Pat. No. 6,526,436) disclosed an e-mail system using custom generated signatures.

Schneider (U.S. Pat. App. Pub. 2002/0010745) disclosed a system for delivering e-mail that parsed an e-mail address and inserted a URI based on the address.

Celik (U.S. Pat. No. 6,374,259) disclosed a system for storing business contact information.

Costales et al. (U.S. Pat. No. 6,044,395) disclosed a method and system for transmitting personalized e-mail.

Dasan (U.S. Pat. No. 5,761,662) disclosed a system for retrieving personal information using a user profile.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph R Maniwang whose telephone number is (703) 305-3179. The examiner can normally be reached on Mon-Fri 8:00-4:30.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William A Cuchlinski can be reached on (703)308-3873. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JM

  
WILLIAM A. CUCHLINSKI, JR.  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3600